**PATENT** 

Practitioner's Docket No. 1617.16C

Preliminary Classification:

Proposed Class: n/a Subclass: n/a

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

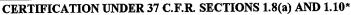
### **NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of

Inventor(s): Mitsuru KANEKO

For (title): CONTINUOUS CERAMIC COMPOSITE PLATING METHOD AND APPARATUS

FOR LONG DOCTOR BASE MATERIALS



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I hereby certify that, on the date shown below, this correspondence is being:

MAILING

[] deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. Section 1.8(a)

37 C.F.R. Section 1.10\*

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TRANSMISSION

[] transmitted by facsimile to the Patent and Trademark Office (703)

Date: 28 February 2002

Lia H. Costello, Legal Assistant

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"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(New Application Transmittal-page 1 of 5)

11046 U.S. PTO 10/085728

### 1. Type of Application

This transmittal is for a divisional application.

## 2. Benefit of Prior U.S. Applications (35 U.S.C. Sections 119(e), 120, or 121)

The new application being transmitted claims the benefit of prior U.S. applications. Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATIONS CLAIMED.

### 3. Papers Enclosed

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

18 Page(s) of Specification

- 3 Page(s) of Claims
- 4 Sheet(s) of Drawing(s)--Formal

### B. Other Papers Enclosed

- 3 Page(s) of declaration and power of attorney
- 1 Page(s) of abstract

### 4a Additional Papers Enclosed

Preliminary Amendment

## 5. Declaration or Oath

Enclosed. Executed by inventor.

### 6. Inventorship Statement

The inventorship for all the claims in this application is the same.

### 7. Language

English

### 8. Assignment

An assignment of the invention to NIHON NEW CHROME CO., LTD. will follow.

## 9. Fee Calculation (37 C.F.R. Section 1.16)

Regular Application

Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16( \$740.00
Total Claims (37 CFR 1.16(c))	2	- 20=	0 x	\$18.00	\$0.00
Independent Clair (37 CFR 1.16(b))		- 3 =	0 x	\$80.00	\$0.00
Multiple Depende Claim(s), if any (37 CFR 1.16(d))			+	\$270.00	\$0.00

Amendment cancelling extra claims is enclosed.

Filing Fee Calculation

### 10. Small Entity Statement(s)

Status as small entity was claimed in prior application 08964246, filed on November 4, 1997, from which benefit is being claimed for this application under 35 U.S.C. SECTION 121, and which status as a small entity is still proper and desired.

	Filing Fee Calculation (50% of above)	\$370.00
11.	Fee Payment Being Made at This Time	
	Enclosed Filing Fee	\$370.00
	Total Fees Enclosed	\$370.00

### 12. Method of Payment of Fees

Charge Account No. 13-1992 in the amount of \$370.00. A duplicate of this transmittal is attached.

### 13. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 13-1992.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)

37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to SECTION 1.136(a))

37 C.F.R. Section 1.17 (application processing fees)

### 14. Instructions as to Overpayment

Credit Account No. 13-1992.

## ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATIONS CLAIMED

### 15. Relate Back

Amend the specification by inserting, before the first line, the following sentence:

## A. 35 U.S.C. Sections 120, 121 and 365(c)

"This application is a divisional of Application number 09/469,555 filed on December 22, 1999, which is a divisional of Application number 08/964,246 filed on November 4, 1997, now U.S. Patent No. 6,027,765.

### 16. Relate Back--35 U.S.C. Section 119 Priority Claim for Prior Application

The prior U.S. application(s), including any prior International Application designating the U.S., identified above in the section entitled, "Relate Back--35 U.S.C. Sections 120, 121 and 365(c)," in turn itself claim(s) foreign priority(ies) as follows:

Country

Application Number

Filed

Japan

9-83745

04/02/1997

The certified copy(ies) has (have) been filed on November 4, 1997, in prior application 08/964,246, which was filed on November 4, 1997.

## 17. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor in this application is the same.

## 18. Small Entity (37 C.F.R. Section 1.28(a))

Applicant has established small entity status by the filing of a statement in parent application 08964246 on November 4, 1997.

## 19. NOTIFICATION IN PARENT APPLICATION OF THIS FILING

A notification of the filing of this divisional is being filed in the parent application, from which this application claims priority under 35 U.S.C. Section 120.

Date: 2/28/02

Reg. No.: 40,693

Tel. No.: 727-538-3800

Customer No.: 24040

Signature of Practitioner

Dennis G. LaPointe

Mason & Associates, P.A.

17757 US Hwy 19 N., Suite 500

Clearwater, FL 33764

OPPETITIONER'S Docket No. 1617.16C

FEB 2 8 2002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re application of: KANEKO, Mitsuru

Application No.: Unknown

Group No.: N/a

Filed: 02/28/2002

Examiner: N/a

For: CONTINUOUS CERAMIC COMPOSITE PLATING METHOD AND APPARATUS FOR LONG

**DOCTOR BASE MATERIALS** 

Assistant Commissioner for Patents Washington, D.C. 20231

## **EXPRESS MAIL CERTIFICATE**

"Express Mail" label number EV 032345970 US Date of Deposit 02/28/2002

I hereby state that the following attached paper or fee

- New Application Transmittal
- Combined Declaration and Power of Attorney
- Preliminary Amendment
- Specifications, Claims, Abstract
- 4 Sheets Formal Drawings
- Notification Of Filing of Divisional Application
- Express Mail Certificate
- Post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. section 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Lia H. Costello, Legal Assistant

Signature of person mailing paper or fee

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

KANEKO, Mitsuru

Application No.: 09/469,555

Group No.: 1734

Filed: December 22, 1999

Examiner: B. Lamb

For: CONTINUOUS CERAMIC COMPOSITE PLATING METHOD AND APPARATUS FOR LONG

**DOCTOR BASE MATERIALS** 

**Assistant Commissioner for Patents** 

Washington D.C. 20231

# NOTIFICATION OF FILING OF CONTINUING, DIVISIONAL OR CONTINUED PROSECUTION APPLICATION

Notification is hereby being made of the filing of a divisional application for this case concurrently herewith.

Date

2/28/02

Reg. No.: 40,693

Tel. No.: 727-538-3800

Customer No.: 24040

Signature of Practitioner

Dennis G. LaPointe

Mason & Associates, P.A.

17757 US Hwy 19 N., Suite 500

Clearwater, FL 33764

#### CERTIFICATION UNDER 37 C.F.R. sections 1.8(a) and 1.10\*

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37 C.F.R. section 1.10\*

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### TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: <u>28 February 2002</u>

Lia H. Costello, Legal Assistant

(Notification of Filing of Continuing, Divisional or Continued Prosecution Application-page 1 of 1)